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CONFIRMATION NO. 5446

SERIAL NUMBER 10/828,269	FILING OR 371(c) DATE 04/21/2004 RULE	CLASS 705	GROUP ART UNIT 3694	ATTORNEY DOCKET NO. 11483-174	
APPLICANTS Jim Degraaf, Toronto, CANADA; Ben De Prisco, Woodbridge, CANADA; Antonin Dolezal, Toronto, CANADA;					
** CONTINUING DATA ***** This application is a CON of 09/324,920 06/03/1999 ABN <i>OK SM 2/27/07</i>					
** FOREIGN APPLICATIONS *****					
IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 07/06/2004					
Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after met Verified and <i>SM</i> Acknowledged Examiner's Signature Initials		STATE OR COUNTRY CANADA	SHEETS DRAWING 4	TOTAL CLAIMS 21	INDEPENDENT CLAIMS 3
ADDRESS <div style="text-align: center;">AIR MAIL</div> 1059					
TITLE Risk management system and method providing rule-based evolution of a portfolio of instruments					
FILING FEE RECEIVED 788	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit		